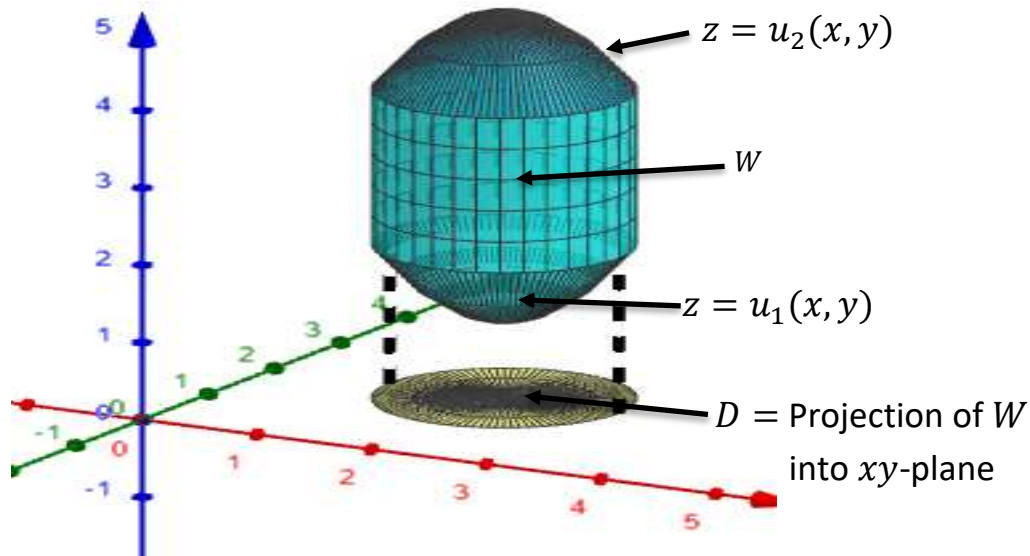


## The Divergence Theorem (Gauss' Theorem)

Gauss's divergence theorem says that the flux of a vector field out of a closed surface equals the integral of the divergence over the volume enclosed by the surface, ie,  $\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W \text{Div } \vec{F} dV$ ; where  $\partial W$  is a closed surface.

We begin by looking at Elementary Regions (eg,  $W$  below), ie regions bounded by, for example,  $z = u_1(x, y)$  and  $z = u_2(x, y)$ , whose projection,  $D$ , into the  $xy$ -plane is bounded by  $y = g_1(x)$  and  $y = g_2(x)$ ,  $x = a$  and  $x = b$ .



Ex. A cube is an elementary region (as is a sphere). Let's take the cube  $W$

$$0 \leq x \leq 1, \quad 0 \leq y \leq 1, \quad 0 \leq z \leq 1.$$

$$S_1: z = 0, \quad 0 \leq x \leq 1, \quad 0 \leq y \leq 1$$

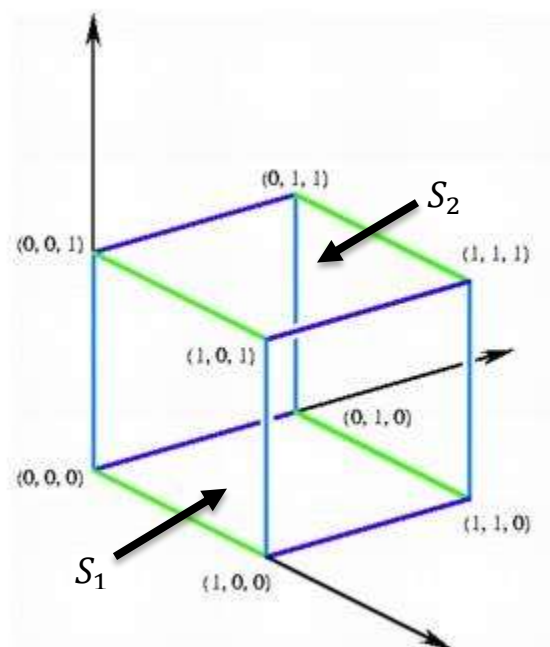
$$S_2: z = 1, \quad 0 \leq x \leq 1, \quad 0 \leq y \leq 1$$

$$S_3: x = 0, \quad 0 \leq y \leq 1, \quad 0 \leq z \leq 1$$

$$S_4: x = 1, \quad 0 \leq y \leq 1, \quad 0 \leq z \leq 1$$

$$S_5: y = 0, \quad 0 \leq x \leq 1, \quad 0 \leq z \leq 1$$

$$S_6: y = 1, \quad 0 \leq x \leq 1, \quad 0 \leq z \leq 1$$



$$\iint_S \vec{F} \cdot d\vec{S} = \sum_{i=1}^6 \iint_{S_i} \vec{F} \cdot d\vec{S}_i = \sum_{i=1}^6 \iint_{S_i} (\vec{F} \cdot \vec{n}_i) dS_i$$

$$\text{Since } d\vec{S}_i = (\vec{n}_i) dS_i; \quad dS_i = |\vec{T}_u \times \vec{T}_v| du dv$$

In the case of this unit cube,  $\vec{n}_1 = -\vec{k}$ ,  $\vec{n}_2 = \vec{k}$ ,  $\vec{n}_3 = -\vec{i}$ ,  $\vec{n}_4 = \vec{i}$ , etc.

$$\text{So, for example, } \iint_{S_3} (\vec{F} \cdot \vec{n}_3) dS_3 = \iint_{S_3} (\vec{F}) \cdot (-\vec{i}) dS_3 = \iint_{S_3} -F_1 dS_3.$$

**Theorem (Gauss' Divergence Theorem)** Let  $W$  be a symmetric elementary region in  $\mathbb{R}^3$ . Denote  $\partial W$  as the oriented closed surface that bounds  $W$ . Let  $\vec{F}$  be a smooth vector field on  $W$ . Then

$$\iiint_W \text{Div } \vec{F} dV = \iiint_W \nabla \cdot \vec{F} dV = \iint_{\partial W} \vec{F} \cdot d\vec{S} = \iint_{\partial W} (\vec{F} \cdot \vec{n}) dS.$$

Note: The Divergence theorem can be proved for more general regions that can be broken up into a finite number of symmetric elementary regions.

Outline of proof:

If  $\vec{F} = P(x, y, z)\vec{i} + Q(x, y, z)\vec{j} + R(x, y, z)\vec{k}$ ; then

$$\text{Div } \vec{F} = \frac{\partial P}{\partial x} + \frac{\partial Q}{\partial y} + \frac{\partial R}{\partial z}; \quad \text{so}$$

$$\iiint_W \text{Div } \vec{F} dV = \iiint_W \frac{\partial P}{\partial x} dV + \iiint_W \frac{\partial Q}{\partial y} dV + \iiint_W \frac{\partial R}{\partial z} dV.$$

However,

$$\begin{aligned} \iint_{\partial W} (\vec{F} \cdot \vec{n}) dS &= \iint_{\partial W} (P\vec{i} + Q\vec{j} + R\vec{k}) \cdot \vec{n} dS \\ &= \iint_{\partial W} (P\vec{i}) \cdot \vec{n} dS + \iint_{\partial W} (Q\vec{j}) \cdot \vec{n} dS + \iint_{\partial W} (R\vec{k}) \cdot \vec{n} dS. \end{aligned}$$

We can show  $\iiint_W \text{Div } \vec{F} dV = \iint_{\partial W} (\vec{F} \cdot \vec{n}) dS$  by showing

$$\iiint_W \frac{\partial P}{\partial x} dV = \iint_{\partial W} (P\vec{i}) \cdot \vec{n} dS$$

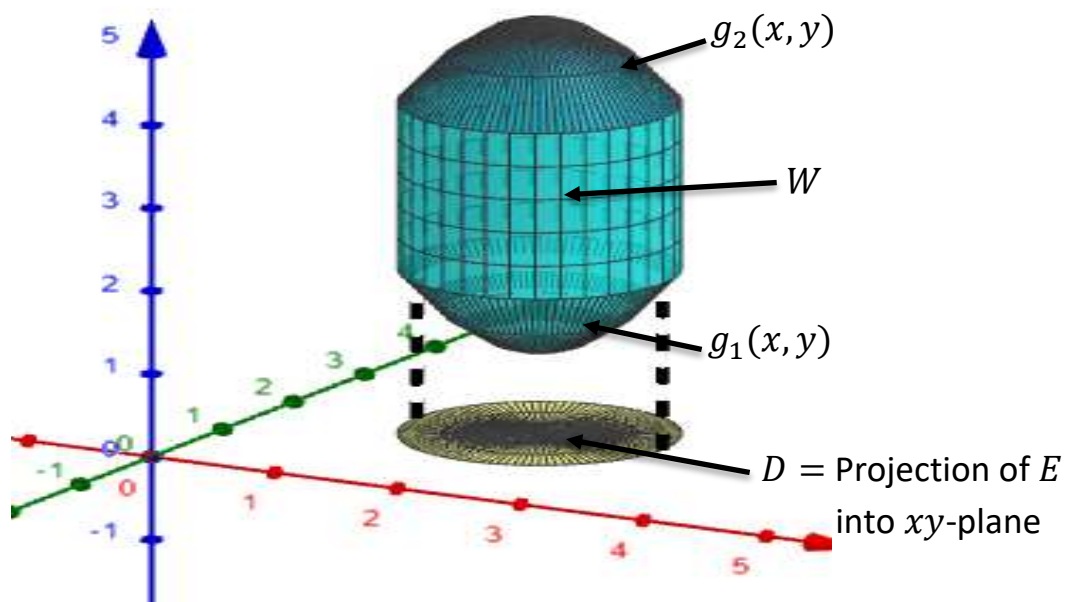
$$\iiint_W \frac{\partial Q}{\partial y} dV = \iint_{\partial W} (Q\vec{j}) \cdot \vec{n} dS$$

$$\iiint_W \frac{\partial R}{\partial z} dV = \iint_{\partial W} (R\vec{k}) \cdot \vec{n} dS .$$

Let's start with  $\iiint_W \frac{\partial R}{\partial z} dV = \iint_{\partial W} (R\vec{k}) \cdot \vec{n} dS$  .

Since  $W$  is a symmetric elementary region there are functions  $z = g_1(x, y)$ ,

$z = g_2(x, y)$  and an elementary region  $D$  in the  $xy$ -plane such that  $W$  is given by  $g_1(x, y) \leq z \leq g_2(x, y)$ ;  $(x, y) \in D$ .



Thus we see that:

$$\iiint_W \frac{\partial R}{\partial z} dV = \iint_D \int_{z=g_1(x,y)}^{z=g_2(x,y)} \left(\frac{\partial R}{\partial z}\right) dz dx dy$$

$$= \iint_D (R(x, y, g_2(x, y)) - R(x, y, g_1(x, y))) dx dy .$$

To show that we get the same thing for  $\iint_{\partial W} (R\vec{k}) \cdot \vec{n} dS$ , notice that we can think of  $\partial W$  as made up of 6 surfaces,  $S_1, S_2, \dots, S_6$ , where  $S_1$  is the “bottom” boundary of  $W$ ,  $S_2$  is the “top”, and  $S_3, \dots, S_6$  are the “sides”. But for each of the “sides”  $\vec{n}$  is perpendicular to  $\vec{k}$ , so  $\vec{n} \cdot \vec{k} = 0$ . Thus we get:

$$\iint_{\partial W} (R\vec{k}) \cdot \vec{n} dS = \iint_{S_1} (R\vec{k}) \cdot \vec{n} dS + \iint_{S_2} (R\vec{k}) \cdot \vec{n} dS.$$

Remembering that the normal for  $\partial W$  points outward (so the normal for  $S_1$  points downward), we can calculate:

$d\vec{S}_1 = \vec{n} dS_1 = \frac{\partial g_1}{\partial x} \vec{i} + \frac{\partial g_2}{\partial y} \vec{j} - \vec{k}$ . Thus we get:

$$\iint_{S_1} (R\vec{k}) \cdot \vec{n} dS = - \iint_D R(x, y, g_1(x, y)) dx dy.$$

Similarly, we get:

$$\iint_{S_2} (R\vec{k}) \cdot \vec{n} dS = \iint_D R(x, y, g_2(x, y)) dx dy.$$

$$\begin{aligned} \iint_{\partial W} (R\vec{k}) \cdot \vec{n} dS &= \iint_D R(x, y, g_2(x, y)) dx dy - \iint_D R(x, y, g_1(x, y)) dx dy \\ &= \iiint_W \frac{\partial R}{\partial z} dV. \end{aligned}$$

$\iiint_W \frac{\partial P}{\partial x} dV = \iint_{\partial W} (P\vec{i}) \cdot \vec{n} dS$  and  $\iiint_W \frac{\partial Q}{\partial y} dV = \iint_{\partial W} (Q\vec{j}) \cdot \vec{n} dS$  are done analogously.

Ex. Find the flux of the vector field  $\vec{F}(x, y, z) = (ye^z)\vec{i} + (xy)\vec{j} - (xz)\vec{k}$  over the unit sphere  $x^2 + y^2 + z^2 = 1$ , i.e., find  $\iint_S \vec{F} \cdot d\vec{S}$ .

Notice that  $Div\vec{F} = 0 + x - x = 0$ . So by the divergence theorem we have:

$$\iint_S \vec{F} \cdot d\vec{S} = \iiint_W Div\vec{F} dV = \iiint_W 0 dV = 0, \text{ where } W \text{ is the unit ball}$$

$$x^2 + y^2 + z^2 \leq 1.$$

Ex. Consider the vector field  $\vec{F}(x, y, z) = (z)\vec{i} + (y)\vec{j} + (z)\vec{k}$ . Let S be the unit sphere  $x^2 + y^2 + z^2 = 1$ . Evaluate  $\iint_S (\vec{F} \cdot \vec{n}) dS$ .

By the divergence theorem:  $\iint_S (\vec{F} \cdot \vec{n}) dS = \iiint_W \nabla \cdot \vec{F} dV$ , where W is the unit ball  $x^2 + y^2 + z^2 \leq 1$ .

Notice that  $\nabla \cdot \vec{F} = Div\vec{F} = 0 + 1 + 1 = 2$ . So we have:

$$\begin{aligned} \iint_S (\vec{F} \cdot \vec{n}) dS &= \iiint_W \nabla \cdot \vec{F} dV = \iiint_W 2 dV \\ &= 2(\text{volume}(W)) = 2\left(\frac{4}{3}\pi(1)^3\right) = \frac{8\pi}{3}. \end{aligned}$$

Ex. Verify the Divergence theorem for  $W = \{(x, y, z): x^2 + y^2 + z^2 \leq 1\}$ , and

$$\vec{F}(x, y, z) = (x)\vec{i} + (y)\vec{j} + (z)\vec{k}.$$

We need to show that  $\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W \text{Div } \vec{F} dV$ .

Let's start with the RHS.  $\text{Div } \vec{F} = 1 + 1 + 1 = 3$ . So we have:

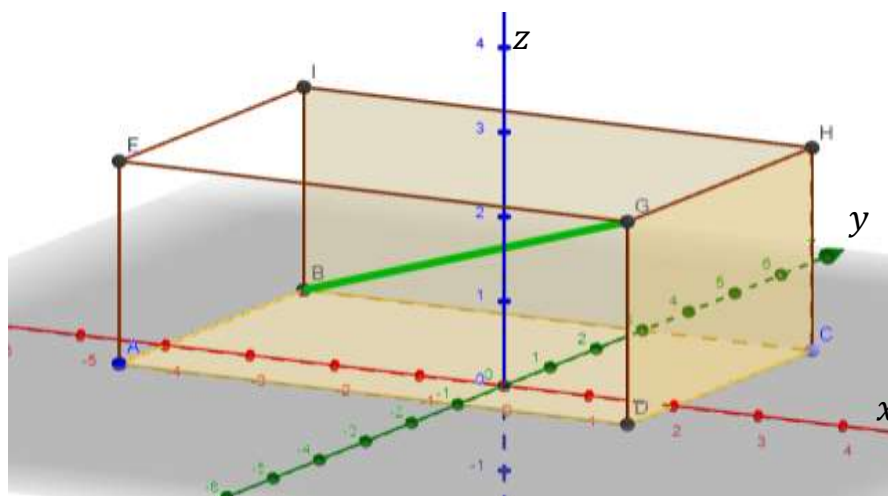
$$\iiint_W \text{Div } \vec{F} dV = \iiint_W 3 dV = 3(\text{vol of } W) = 3\left(\frac{4}{3}\pi(1)^3\right) = 4\pi.$$

LHS:  $\partial W$  is the unit sphere. To calculate  $\iint_{\partial W} \vec{F} \cdot d\vec{S}$ , we could parametrize the unit sphere and calculate  $\vec{T}_\phi \times \vec{T}_\theta$ , but it's much easier in this case to use:

$$\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iint_{\partial W} (\vec{F} \cdot \vec{n}) dS, \text{ where } \vec{n} = \frac{(x)\vec{i} + (y)\vec{j} + (z)\vec{k}}{\sqrt{x^2 + y^2 + z^2}} = (x)\vec{i} + (y)\vec{j} + (z)\vec{k}.$$

$$\begin{aligned} \iint_{\partial W} (\vec{F} \cdot \vec{n}) dS &= \iint_{\partial W} \langle x, y, z \rangle \cdot \langle x, y, z \rangle dS = \iint_{\partial W} (x^2 + y^2 + z^2) dS \\ &= \iint_{\partial W} (1) dS = \text{Surface area of the unit sphere} = 4\pi. \end{aligned}$$

Ex. Find the flux of the vector field  $\vec{F}(x, y, z) = \langle z - y^2, x, xyz \rangle$  out of the rectangular solid  $[-4, 2] \times [-1, 3] \times [0, 3]$  (this is  $W$ ).



The flux is given by  $\iint_{\partial W} \vec{F} \cdot d\vec{S}$ . By the divergence theorem we know:

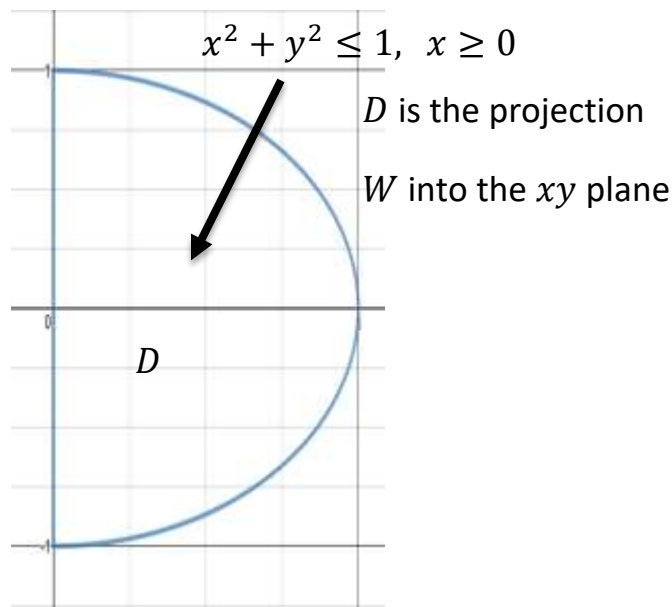
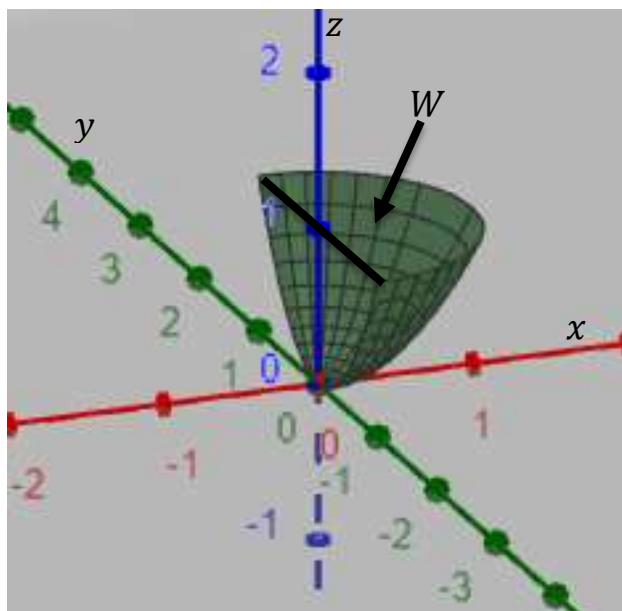
$$\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W \text{Div } \vec{F} dV.$$

$$\text{Div } \vec{F} = 0 + 0 + xy = xy.$$

$$\begin{aligned} \iint_{\partial W} \vec{F} \cdot d\vec{S} &= \iiint_W xy dV = \int_{x=-4}^{x=2} \int_{y=-1}^{y=3} \int_{z=0}^{z=3} (xy) dz dy dx \\ &= \int_{x=0}^{x=1} \int_{y=-1}^{y=2} xyz \Big|_0^3 dy dx \\ &= \int_{x=-4}^{x=2} \int_{y=-1}^{y=3} 3xy dy dx = \int_{x=-4}^2 \frac{3}{2} xy^2 \Big|_{-1}^3 dx \\ &= \int_{x=-4}^{x=2} 12x dx = -72. \end{aligned}$$

Ex.  $\vec{F}(x, y, z) = (y)\vec{i} + (z)\vec{j} + (xz)\vec{k}$ , Evaluate  $\iint_{\partial W} \vec{F} \cdot d\vec{S}$ , if  $W$  is given by  $x^2 + y^2 \leq z \leq 1$ ,  $x \geq 0$ .

First draw the region  $W$  given by  $x^2 + y^2 \leq z \leq 1$ ,  $x \geq 0$ .



By the divergence theorem we know:

$$\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W \text{Div } \vec{F} dV.$$

$$\text{Div } \vec{F} = 0 + 0 + x = x.$$

$$\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W x dV. \quad \text{Now we have to integrate over the region } W.$$

$$\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W x dV = \iint_{\substack{x^2+y^2 \leq 1 \\ x \geq 0}} \int_{z=x^2+y^2}^1 x dz dA$$

$$\begin{aligned} \iint_{\partial W} \vec{F} \cdot d\vec{S} &= \iint_{\substack{x^2+y^2 \leq 1 \\ x \geq 0}} xz \Big|_{x^2+y^2}^1 dA \\ &= \iint_{\substack{x^2+y^2 \leq 1 \\ x \geq 0}} x(1 - x^2 - y^2) dA. \end{aligned}$$

Now change to polar coordinates:  $x = r\cos\theta$ ,  $y = r\sin\theta$ ,  $dA = r dr d\theta$ .

$$= \int_{\theta=-\frac{\pi}{2}}^{\frac{\pi}{2}} \int_{r=0}^1 (r\cos\theta)(1 - r^2)r dr d\theta$$

$$= \left( \int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \cos\theta d\theta \right) \int_{r=0}^1 (r^2 - r^4) dr d\theta$$

$$= \left[ \sin\theta \Big|_{-\frac{\pi}{2}}^{\frac{\pi}{2}} \right] \left[ \left( \frac{r^3}{3} - \frac{r^5}{5} \right) \Big|_0^1 \right]$$

$$= (2) \left( \frac{1}{3} - \frac{1}{5} \right) = \frac{4}{15}.$$



Ex. Evaluate  $\iint_{\partial S} (\vec{F} \cdot \vec{n}) dA$ , where  $\vec{F}(x, y, z) = (z)\vec{i} + (x - z)\vec{j} + (z(x^2 + y^2))\vec{k}$

And  $\partial S$  is the surface which is the boundary of the portion of the solid cylinder given by  $x^2 + y^2 \leq 4$ ,  $0 \leq z \leq 4$ .

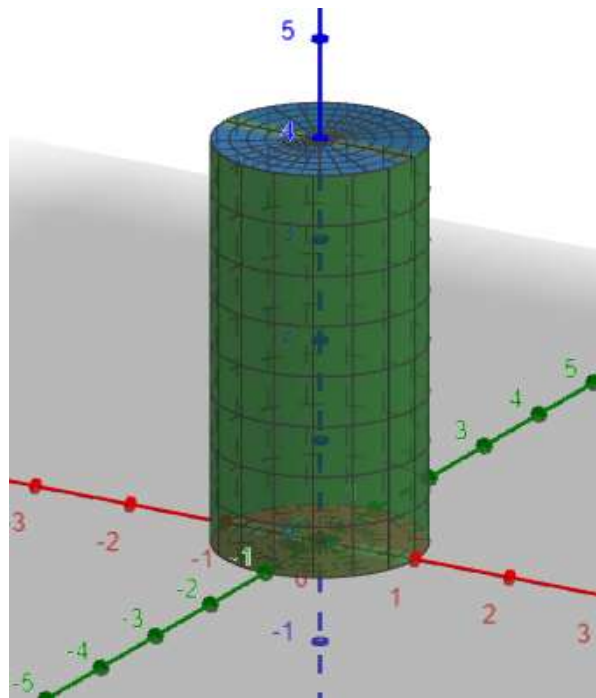
First draw the solid S.

By the divergence theorem:

$$\iint_{\partial S} (\vec{F} \cdot \vec{n}) dA = \iiint_S \text{Div } \vec{F} dS.$$

$\text{Div } \vec{F} = x^2 + y^2$ , so we have:

$$\begin{aligned} \iint_{\partial S} (\vec{F} \cdot \vec{n}) dA &= \iiint_S \text{Div } \vec{F} dS \\ &= \iiint_S (x^2 + y^2) dS. \end{aligned}$$



We are integrating over the region S which is part of a solid cylinder, thus we change to cylindrical coordinates to do the integral (which is just polar coordinates plus a z coordinate).

$$x = r\cos\theta, \quad y = r\sin\theta, \quad z = z; \quad dS = rdzdrd\theta.$$

$$\begin{aligned} \iiint_S (x^2 + y^2) dS &= \int_{\theta=0}^{\theta=2\pi} \int_{r=0}^{r=2} \int_{z=0}^{z=4} (r^2)(r) dzdrd\theta \\ &= \int_{\theta=0}^{\theta=2\pi} \int_{r=0}^{r=2} \int_{z=0}^{z=4} (r^3) dzdrd\theta \\ &= \int_{\theta=0}^{2\pi} \int_{r=0}^2 r^3 z \Big|_{z=0}^4 drd\theta \end{aligned}$$

$$= \int_{\theta=0}^{2\pi} \int_{r=0}^2 4r^3 dr d\theta$$

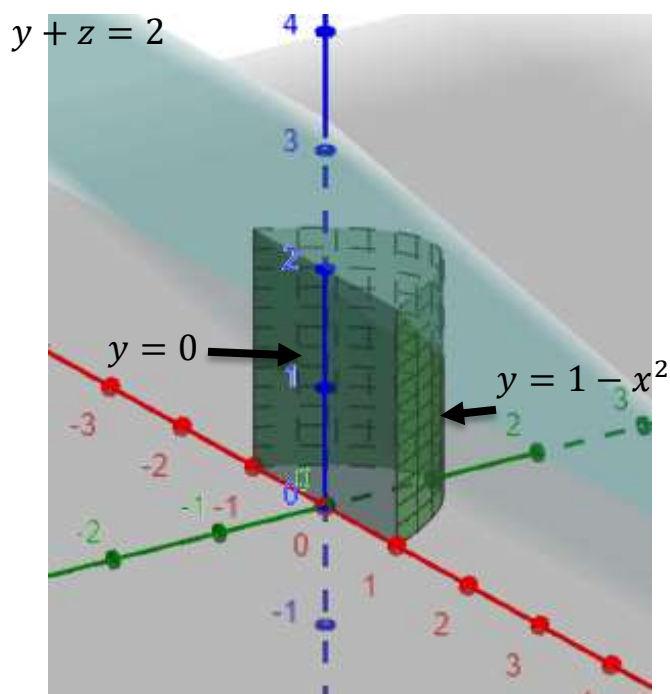
$$= \int_{\theta=0}^{2\pi} r^4 \Big|_{r=0}^2 d\theta$$

$$= \int_{\theta=0}^{2\pi} 16 d\theta = 32\pi.$$

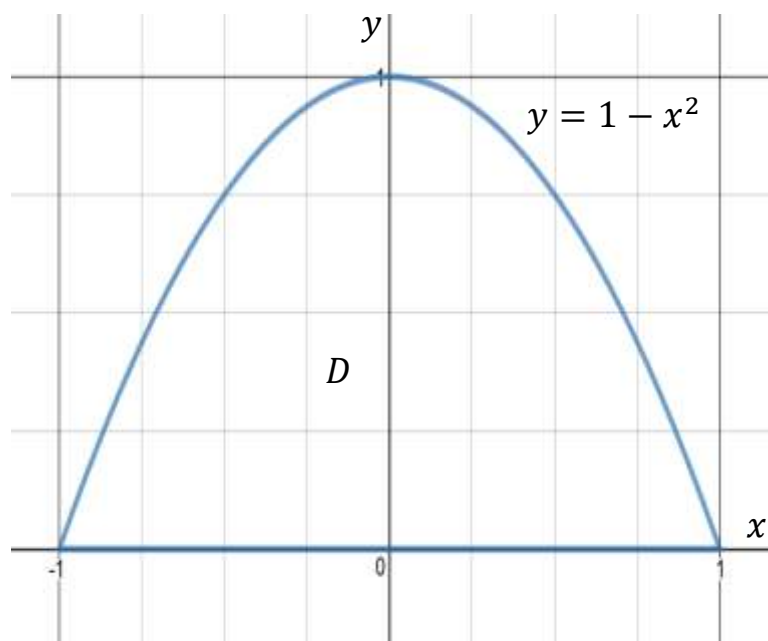
Ex. Evaluate  $\iint_S \vec{F} \cdot d\vec{S}$  where  $\vec{F}(x, y, z) = \langle xz, \sin(z), z^2 + e^{xy^2} \rangle$  and  $S$  is the surface that's the boundary of the solid  $W$ , where  $S$  is made up of the surfaces:

The parabolic cylinder  $y = 1 - x^2$ , and the planes  $z = 0$ ,  $y = 0$ , and  $y + z = 2$ .

First draw the surface  $S$ .



$D$ , Projection of  $W$  into  $xy$ -plane



$$\begin{aligned}
\iint_S \vec{F} \cdot d\vec{S} &= \iiint_W \operatorname{Div} \vec{F} dV = \iiint_W 3z dV \\
&= \int_{x=-1}^{x=1} \int_{y=0}^{y=1-x^2} \int_{z=0}^{z=2-y} 3z dz dy dx \\
&= \int_{x=-1}^{x=1} \int_{y=0}^{y=1-x^2} \frac{3}{2} z^2 \Big|_{z=0}^{z=2-y} dy dx \\
&= \int_{x=-1}^{x=1} \int_{y=0}^{y=1-x^2} \frac{3}{2} (2-y)^2 dy dx \\
&= \int_{x=-1}^{x=1} -\frac{1}{2} (2-y)^3 \Big|_{y=0}^{y=1-x^2} dx \\
&= \int_{x=-1}^{x=1} -\frac{1}{2} \left[ (2 - (1 - x^2))^3 - (2 - 0)^3 \right] dx \\
&= -\frac{1}{2} \int_{x=-1}^{x=1} ((x^2 + 1)^3 - 8) dx \\
&= -\frac{1}{2} \int_{x=-1}^{x=1} (x^6 + 3x^4 + 3x^2 - 7) dx = \frac{184}{35}.
\end{aligned}$$

Ex. Find the flux of the vector field

$$\vec{F}(x, y, z) = \langle \frac{1}{3}x^3 + e^{\sqrt{y}}, \sin(x^2) + z, y^2 + xy \rangle \text{ out of the unit sphere,}$$

$$x^2 + y^2 + z^2 = 1.$$

$$\iint_{\partial W} \vec{F} \cdot d\vec{S} = \iiint_W \text{Div } \vec{F} dV \text{ where } W \text{ is given by } x^2 + y^2 + z^2 \leq 1.$$

$$\text{Div } \vec{F} = x^2.$$

So we must evaluate  $\iiint_W x^2 dV$  over the unit ball  $x^2 + y^2 + z^2 \leq 1$ .

Method #1: change to spherical coordinates.

$$x = \rho \cos \theta \sin \phi, \quad y = \rho \sin \theta \sin \phi, \quad z = \rho \cos \phi,$$

where  $0 \leq \rho \leq 1$ ,  $0 \leq \theta \leq 2\pi$ ,  $0 \leq \phi \leq \pi$ .

From 3<sup>rd</sup> semester Calculus we know that  $dV = (\rho^2 \sin \phi) d\rho d\phi d\theta$ .

$$\iiint_W x^2 dV = \int_{\theta=0}^{\theta=2\pi} \int_{\phi=0}^{\phi=\pi} \int_{\rho=0}^{\rho=1} (\rho^2 \cos^2 \theta \sin^2 \phi) (\rho^2 \sin \phi) d\rho d\phi d\theta.$$

$$= \int_{\theta=0}^{\theta=2\pi} \int_{\phi=0}^{\phi=\pi} \int_{\rho=0}^{\rho=1} (\rho^4 \cos^2 \theta \sin^3 \phi) d\rho d\phi d\theta$$

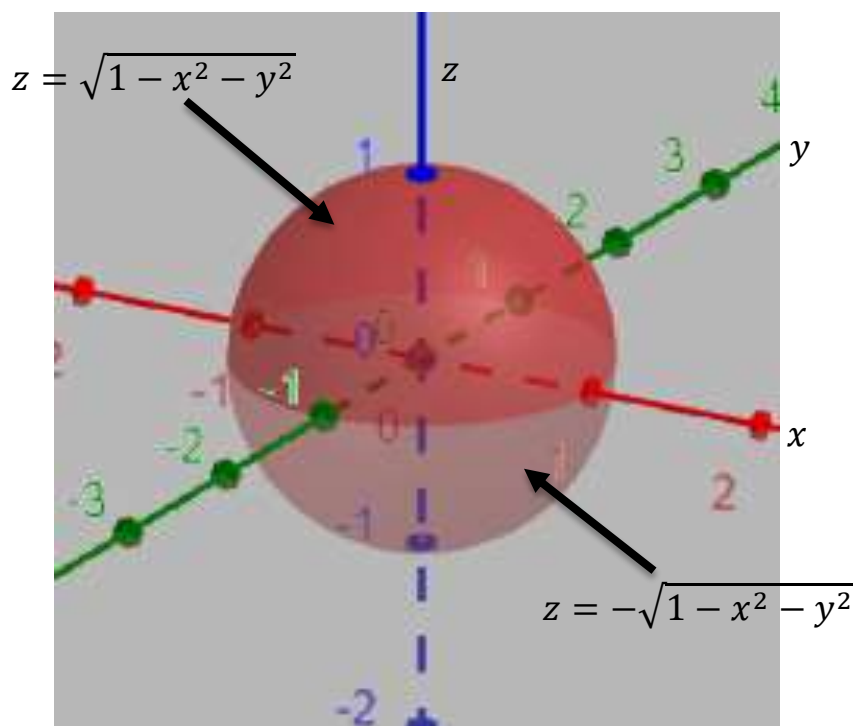
$$= \left( \int_{\theta=0}^{2\pi} \cos^2 \theta d\theta \right) \left( \int_{\phi=0}^{\pi} \sin^3 \phi d\phi \right) \left( \int_{\rho=0}^1 \rho^4 d\rho \right)$$

$$= \left( \int_{\theta=0}^{2\pi} \left( \frac{1}{2} + \frac{1}{2} \cos 2\theta \right) d\theta \right) \left( \int_{\phi=0}^{\pi} \sin \phi (1 - \cos^2 \phi) d\phi \right) \left( \frac{1}{5} \rho^5 \Big|_0^1 \right)$$

$$= (\pi) \left( -\cos \phi + \frac{1}{3} \cos^3 \phi \right) \Big|_0^{\pi} \left( \frac{1}{5} \right) = \frac{4\pi}{15}.$$

Method #2: Upper hemisphere is  $z = \sqrt{1 - x^2 - y^2}$

Lower hemisphere is  $z = -\sqrt{1 - x^2 - y^2}$



$$\iiint_W x^2 dV = \iint_{x^2+y^2 \leq 1} \int_{z=-\sqrt{1-x^2-y^2}}^{z=\sqrt{1-x^2-y^2}} x^2 dz dy dx$$

$$\begin{aligned}
&= \iint_{x^2+y^2 \leq 1} x^2 z \Big|_{z = -\sqrt{1-x^2-y^2}}^{z = \sqrt{1-x^2-y^2}} dy dx \\
&= \iint_{x^2+y^2 \leq 1} 2x^2 \sqrt{1-x^2-y^2} dy dx
\end{aligned}$$

Now change to polar coordinates:

$$\begin{aligned}
&= \int_{\theta=0}^{2\pi} \int_{r=0}^1 2r^2 \cos^2 \theta (1-r^2)^{\frac{1}{2}} r dr d\theta \\
&= \left( \int_{\theta=0}^{2\pi} \cos^2 \theta d\theta \right) \left( \int_{r=0}^1 2r^3 (1-r^2)^{\frac{1}{2}} dr \right).
\end{aligned}$$

For the first integral substitute  $\cos^2 \theta = \frac{1}{2} + \frac{1}{2} \cos 2\theta$ .

For the second integral let  $u = 1 - r^2$ ,  $-du = 2r dr$ , and  $r^2 = 1 - u$ .

$$\begin{aligned}
&= \left( \int_{\theta=0}^{2\pi} \left( \frac{1}{2} + \frac{1}{2} \cos 2\theta \right) d\theta \right) \left( \int_{u=1}^{u=0} -(1-u) u^{\frac{1}{2}} du \right) \\
&= (\pi) \left( \int_{u=1}^{u=0} \left( -u^{\frac{1}{2}} + u^{\frac{3}{2}} \right) du \right) = (\pi) \left( \frac{2}{3} - \frac{2}{5} \right) = \frac{4\pi}{15}.
\end{aligned}$$