

## Rings of Polynomials

Let  $R$  be a ring and let  $x$  be called an indeterminate (as opposed to a variable).

Def. A **polynomial**  $f(x)$  with coefficients in  $R$  is any expression of the form:

$$\sum_{i=0}^{\infty} a_i x^i = a_0 + a_1 x + a_2 x^2 + \cdots + a_n x^n + \cdots$$

where  $a_i \in R$  and  $a_i = 0$  for all but a finite number of values of  $i$ . The  $a_i$ 's are **coefficients** of  $f(x)$ . The largest  $i$  for which  $a_i \neq 0$  is called the **degree of the polynomial**. If for all  $i$ ,  $a_i = 0$ , then we say the degree of  $f(x)$  is undefined.

Let  $R[x] = \{\text{set of polynomials, } f(x), \text{ with coefficients in } R\}$ .

Notice that  $R[x]$  is also a ring where addition and multiplication is defined in the usual way:

$$f(x) = a_0 + a_1 x + a_2 x^2 + \cdots + a_n x^n + \cdots$$

$$g(x) = b_0 + b_1 x + b_2 x^2 + \cdots + b_n x^n + \cdots$$

Then,  $f(x) + g(x) = c_0 + c_1 x + c_2 x^2 + \cdots + c_n x^n + \cdots$ ,

$$\text{where } c_i = a_i + b_i$$

and  $f(x)g(x) = d_0 + d_1 x + d_2 x^2 + \cdots + d_n x^n + \cdots$

$$\text{where } d_i = \sum_{j=0}^i a_j b_{(i-j)}.$$

Notice that if  $R$  is not commutative then neither is  $R[x]$ . If  $R$  is commutative then so is  $R[x]$ .

The additive identity element for  $R[x]$  is  $f(x) = 0$  and the multiplicative identity element is  $g(x) = 1$ .

Showing that  $R[x]$ ,  $+$  is an abelian group and that  $R[x]$  satisfies multiplicative associativity and the distributive laws is messy but straight forward.

Ex. Let  $\mathbb{Z}_2[x] = R[x]$ . Calculate  $(x + 1)^2$  and  $(x + 1) + (x + 1)$ .

$$(x + 1)^2 = (x + 1)(x + 1) = x^2 + (1 + 1)x + 1 = x^2 + 1$$

$$(x + 1) + (x + 1) = (1 + 1)x + (1 + 1) = 0x + 0 = 0.$$

Ex. Find the sum and product of  $f(x) = 4x - 5$  and  $g(x) = 2x^2 - 4x + 2$  in  $\mathbb{Z}_8[x]$ .

$$\begin{aligned} f(x) + g(x) &= (4x - 5) + (2x^2 - 4x + 2) \\ &= 2x^2 + (4 - 4)x + (2 - 5) \\ &= 2x^2 - 3 \\ &= 2x^2 + 5 \text{ in } \mathbb{Z}_8[x]. \end{aligned}$$

$$\begin{aligned} f(x)g(x) &= (4x - 5)(2x^2 - 4x + 2) \\ &= (4 \cdot 2)x^3 - (4 \cdot 4)x^2 + (4 \cdot 2)x - (5 \cdot 2)x^2 + (5 \cdot 4)x - (5 \cdot 2) \\ &= 0x^3 - 0x^2 + 0x - 2x^2 + 4x - 2 \\ &\text{(since } 10 \equiv 2 \pmod{8} \text{ and } 20 \equiv 4 \pmod{8}) \\ &= -2x^2 + 4x - 2 \\ &= 6x^2 + 4x + 6. \end{aligned}$$

We define  $R[x_1, x_2, \dots, x_n]$  the ring of polynomials in  $n$  indeterminates with coefficients in  $R$  in the usual way.

Ex. What are the units of  $\mathbb{Z}_5[x]$ ?

So given an element  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$

when is there a  $g(x) = b_0 + b_1x + b_2x^2 + \dots + b_mx^m$

such that  $(f(x))(g(x)) = 1$  in  $\mathbb{Z}_5[x]$ ?

Notice that  $\mathbb{Z}_5 \subseteq \mathbb{Z}_5[x]$ , and  $\mathbb{Z}_5$  is a field (but  $\mathbb{Z}_5[x]$  isn't a field).

Thus, any non-zero element in  $\mathbb{Z}_5$  has an inverse. So the polynomials  $f(x) = 1$ ,  $f(x) = 2$ ,  $f(x) = 3$ , and  $f(x) = 4$  are units in  $\mathbb{Z}_5[x]$ .

Suppose  $f(x) = a_0 + a_1x + a_2x^2 + \dots + a_nx^n$  has an inverse  $g(x) = b_0 + b_1x + b_2x^2 + \dots + b_mx^m$  in  $\mathbb{Z}_5[x]$ .

Let's assume  $a_n \neq 0$  for some  $n > 0$  i.e.  $f(x)$  is not a constant function,

then  $f(x)g(x) = c_0 + c_1x + c_2x^2 + \dots + c_{n+m}x^{(n+m)}$

where the highest power of  $f(x)g(x)$  is  $a_nb_m$  where  $a_n$  is the coefficient of the highest power of  $f(x)$  (with a non-zero coefficient) and  $b_m$  is the coefficient of the highest power of  $g(x)$  (with a non-zero coefficient).

Since  $a_n \neq 0$ ,  $a_nb_mx^{n+m}$ , does not have  $n + m = 0$ .

But In order for  $f(x)g(x) = 1$ , all coefficients  $c_1, c_2, \dots, c_{n+m}$  must be 0.

But that would mean  $a_nb_m = 0$  and that can't happen because  $\mathbb{Z}_5$  is a field and has no 0 divisors. Thus, the only units of  $\mathbb{Z}_5[x]$  are the constant functions  $f(x) = 1$ ,  $f(x) = 2$ ,  $f(x) = 3$ , and  $f(x) = 4$ .

If  $D$  is an integral domain then so is  $D[x]$ . The argument is similar to the one used in the previous example. If

$$f(x) = a_0 + a_1x + a_2x^2 + \cdots + a_nx^n$$

$$g(x) = b_0 + b_1x + b_2x^2 + \cdots + b_mx^m$$

then the only way for  $f(x)g(x) = 0$  (that is, the product is the 0 polynomial) is for all coefficients of the product  $f(x)g(x)$  to be 0.

The coefficient of the highest power of  $f(x)g(x)$  is  $a_nb_m$ , where  $a_n \neq 0$ ,  $b_m \neq 0$ . Thus the only way for  $a_nb_m = 0$  is for there to be 0 divisors in  $D$ . But  $D$  is an integral domain so that can't happen.

If  $F$  is a field (and hence an integral domain)  $F[x]$  is an integral domain but not a field since  $x$  is not a unit (i.e. there is no  $f(x) \in F[x]$  with  $xf(x) = 1$ ) However, we can form the field of rational functions from the integral domain  $F[x]$  (as we did earlier) by creating the field of quotients for  $F[x]$ .

Theorem:

Let  $F$  be a subfield of a field  $E$ .

Let  $\alpha \in E$ , and let  $x$  be an indeterminate.

The map  $\phi_\alpha: F[x] \rightarrow E$  is defined by:

$$\phi_\alpha(a_0 + a_1x + a_2x^2 + \cdots + a_nx^n) = a_0 + a_1(\alpha) + a_2\alpha^2 + \cdots + a_n\alpha^n$$

is a homomorphism of  $F[x]$  into  $E$ .

In particular,  $\phi_\alpha(x) = \alpha$ , for all  $\alpha \in F$ , maps  $F$  isomorphically into  $E$ .

The homomorphism  $\phi_\alpha$  is called the **evaluation homomorphism** at  $\alpha$ .

Proof: The fact that  $\phi_\alpha$  is a homomorphism comes from the definition of addition and multiplication in  $F[x]$ .

$$\text{If } f(x) = a_0 + a_1x + \cdots + a_nx^n,$$

$$g(x) = b_0 + b_1x + \cdots + b_mx^m$$

$$h(x) = f(x) + g(x) = c_0 + c_1x + \cdots + c_nx^n, \text{ where } n \geq m, \text{ then}$$

$$\phi_\alpha(f(x) + g(x)) = \phi_\alpha(h(x)) = c_0 + c_1\alpha + \cdots + c_n\alpha^n$$

$$\begin{aligned} & \phi_\alpha(f(x)) + \phi_\alpha(g(x)) \\ &= a_0 + a_1\alpha + \cdots + a_n\alpha^n + b_0 + b_1\alpha + \cdots + b_m\alpha^m \end{aligned}$$

By the definition of addition in  $F[x]$ ,  $c_i = a_i + b_i$ , so

$$\phi_\alpha(f(x) + g(x)) = \phi_\alpha(f(x)) + \phi_\alpha(g(x)).$$

$$f(x)g(x) = d_0 + d_1x + \cdots + d_sx^s \text{ and}$$

$$\phi_\alpha(f(x)g(x)) = d_0 + d_1\alpha + \cdots + d_s\alpha^s$$

$$\begin{aligned} & [\phi_\alpha(f(x))][\phi_\alpha(g(x))] \\ &= (a_0 + a_1\alpha + \cdots + a_n\alpha^n)(b_0 + b_1\alpha + \cdots + b_m\alpha^m) \end{aligned}$$

By the definition of multiplication in  $F[x]$ :

$$\phi_\alpha(f(x)g(x)) = [\phi_\alpha(f(x))][\phi_\alpha(g(x))].$$

If  $f(x) = a$  is a constant polynomial in  $F[x]$ , then  $\phi_\alpha(a) = a$ .

So  $\phi_\alpha$  maps the constant functions isomorphically onto  $F \subseteq E$ .

By the definition of  $\phi_\alpha$ ,  $\phi_\alpha(x) = \alpha$ .

Ex. Let  $F = \mathbb{Q}$ , and  $E = \mathbb{R}$ . Consider  $\phi_3: \mathbb{Q}[x] \rightarrow \mathbb{R}$ .

$$\phi_3(a_0 + a_1x + \cdots + a_nx^n) = a_0 + a_1(3) + \cdots + a_n(3)^n.$$

Notice that  $\phi_3(x^2 - x - 6) = 3^2 - 3 - 6 = 0$ .

So  $x^2 - x - 6$  is in the kernel of  $\phi_3$ .

What is the kernel of  $\phi_3$ ?

$$\ker(\phi_3) = \{f(x) \in \mathbb{Q}[x] \mid f(3) = 0\}.$$

Ex. Let  $F = \mathbb{Q}$ , and  $E = \mathbb{C}$  and consider:

$$\phi_{2i}(a_0 + a_1x + \cdots + a_nx^n) = a_0 + a_1(2i) + \cdots + a_n(2i)^n$$

where  $i^2 = -1$ .

Notice that  $\phi_{2i}(x^2 + 4) = (2i)^2 + 4 = 0$ .

So  $x^2 + 4$  is in the  $\ker(\phi_{2i}) = \{f(x) \in \mathbb{Q}[x] \mid f(2i) = 0\}$ .

Def. Let  $F$  be a subfield of a field  $E$ , and let  $\alpha$  be an element of  $E$ .

Let  $f(x) = a_0 + a_1x + \cdots + a_nx^n \in F[x]$ , and let  $\phi_\alpha: F[x] \rightarrow E$  be the evaluation homomorphism.

Let  $f(\alpha)$  denote  $\phi_\alpha(f(x)) = a_0 + a_1\alpha + \cdots + a_n\alpha^n$ .

If  $f(\alpha) = 0$ , then  $\alpha$  is a **zero of  $f(x)$** .

Ex. Find all of the zeros of  $x^4 + 2x^2 + 2x$  in  $\mathbb{Z}_7$ .

Since  $\mathbb{Z}_7$  only has 7 elements we can just evaluate the polynomial for each value and see where it's 0 in  $\mathbb{Z}_7$ .

$x$	$x^4 + 2x^2 + 2x = x(x^3 + 2x + 2)$
0	$0(0^3 + 2(0) + 2) \equiv 0 \pmod{7}$
1	$1(1^3 + 2(1) + 2) \equiv 5 \not\equiv 0 \pmod{7}$
2	$2(2^3 + 2(2) + 2) \equiv 2(8 + 4 + 2) \equiv 2(14) \equiv 0 \pmod{7}$
3	$3(3^3 + 2(3) + 2) \equiv 3(27 + 6 + 2) \equiv 3(35) \equiv 0 \pmod{7}$
4	$4(4^3 + 2(4) + 2) \equiv 4(64 + 8 + 2) \equiv 4(74) \not\equiv 0 \pmod{7}$
5	$5(5^3 + 2(5) + 2) \equiv 5(125 + 10 + 2) \equiv 5(137) \not\equiv 0 \pmod{7}$
6	$6(6^3 + 2(6) + 2) \equiv 6(216 + 12 + 2) \equiv 6(230) \not\equiv 0 \pmod{7}$

So the zeros occur at  $x = 0$ ,  $x = 2$ ,  $x = 3$ .